

# TED STATES PATENT AND TRADEMARK OFFICE

**ICANT:** 

Danny A. Grant et al.

**SERIAL NO.:** 

10/538,164

CONFIRMATION NO. 3279

FILING DATE:

June 8, 2005

TITLE:

Haptic Communication Devices

**EXAMINER:** 

unassigned

ART UNIT:

unassigned

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Missing Parts, Commissioner for Patents, Alexandria, VA 22313-1450, on the date printed below:

5/30/06

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **DECLARATION OF LACK OF DECEPTIVE INTENT**

Shahoran I, Erik J. Shohian; am an inventor of the subject matter claimed in US Patent Application Serial Number 10/538,164 which claims priority from PCT Application Number PCT/US2003/038961 in which I was not named as an inventor. I hereby confirm that any error in inventorship in the international application occurred without deceptive intention on my part.



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Date:

5/30/06

Name

Monica Pizarro

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **DECLARATION OF LACK OF DECEPTIVE INTENT**

I, Dean C. Chang, am an inventor of the subject matter claimed in US Patent Application Serial Number 10/538,164 which claims priority from PCT Application Number PCT/US2003/038961 in which I was not named as an inventor. I hereby confirm that any error in inventorship in the international application occurred without deceptive intention on my part.



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## CONSENT OF ASSIGNEE

Dear Sir:

I, Laura Peter, am Vice President and General Counsel of Immersion Corporation and am authorized to execute this Consent of Assignee. Immersion Corporation herby consents to the addition of inventors Erik J. Shohian and Dean C. Chang to the above-captioned patent application.

By: Dana A. Fefer

Date: 21 april 2006



As a below-named inventor, I hereby declare that:

My correct city and state of residence, my post office address and my citizenship are stated below next to my name.

I believe myself to be the original, first and sole inventor (if only one name is listed below) or an original and first joint inventor (if more than one name is listed below) of the subject matter which is disclosed and claimed and for which a patent is sought on the invention entitled:

		"Haptic Communica	tion Devic	es"		
The specification	n of this subject m	atter:				
	is attached hereto	is attached hereto.				
$\boxtimes$	was filed on June	8, 2005 as United Stat	es Applicat	ion Number <u>1</u>	0/538,164	
	and was amended	d on	(if appli	icable).		
		was filed on <u>December 8, 2003</u> as PCT International Application Number <u>PCT/US2003/038961</u>				
•	and was amended	d on	(if appli	icable).		
do not believe to my invention the invention there is sale in the United has not been parapplication in an representatives design patent at a lacknown application in a lacknown in a la	hat the claimed inversed, or patented of or more than one ded States of Americatented or made the country foreign to pulcation) prior to wledge the duty to ecordance with 37 y claim foreign priors.	disclose information w	or used in ted publical cation, that prior to this r's certificate America on a utility path.	the United Station in any continuous the same was application, at application and application entropolication erial to the expense of any foreign of any foreign of any foreign of any foreign.	tates of Ame untry before is not in publicand that the late on filed by more in amination of amination of	rica before my lic use or on invention of this e or my legal nths (for a
or inventor's ce	rtificate having a fil	ing date before that of	the applica	tion on which	priority is cla	aimed.
PRIOR FOREIC	SN APPLICATION	<u>(S)</u>	Priority	Claimed		ed Copy ched?
Number	Country	Month/Day/Year Filed	Yes	No	Yes	No

## PROVISIONAL PATENT APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/431,662	December 8, 2002
Application Number	Filing Date

#### PARENT PATENT APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in these prior United States application(s) in the manner provided by 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

Application No.	Filing Date	Status (Issued, Pending, Abandoned)
Application No.	Filing Date	Status (Issued, Pending, Abandoned)
Application No.	Filing Date	Status (Issued, Pending, Abandoned)
Application No.	Filing Date	Status (Issued, Pending, Abandoned)

I hereby appoint practitioners associated with **Customer Number: 60,140** as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith. If this application is assigned by me I agree and understand that the above-named attorneys will represent the assignee and not me.

Please send all correspondence and direct all telephone calls to the address associated with

#### Customer Number 60,140.

FULL NAME OF	FIRST Name	MIDDLE Initial(s)	LAST Name	
	Danny	Α.	Grant	
RESIDENCE ANI CITIZENSHIP		State or Foreign Country	Country of Cit	izenship
	Montreal	Quebec, Canada	Canada	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	5961 de la Roche, #4	Montreal	Quebec, Canada	H2S 2C8
FULL NAME OF INVENTOR 2	FIRST Name	MIDDLE Initial(s)	LAST Name	
	Jeffrey	· · · · · · · · · · · · · · · · · · ·	<u>Eid</u>	
RESIDENCE ANI CITIZENSHIP	D City	State or Foreign Country	Country of Cit	izenship
	Danville	California	USA	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	105 Shadwell Drive	Danville	California	94506
FULL NAME OF INVENTOR 3	FIRST Name	MIDDLE Initial(s)	LAST Name	
	Shoichi		Endo	<del></del>
RESIDENCE AN CITIZENSHIP	D City	State or Foreign Country	Country of Cit	izenship
	Cupertino	California	Japan	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	20268 Northwest Square	Cupertino	California	95014
FULL NAME OF INVENTOR 4	FIRST Name	MIDDLE Initial(s)	LAST Name	Shalosin a
	Erik	J	Shohian	Shahoian
RESIDENCE AN CITIZENSHIP	D City	State or Foreign Country .	Country of Cit	izenship
	San Ramon	California	USA	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	3208 El Suyo Drive	San Ramon	California	94583
FULL NAME OF INVENTOR 5	FIRST Name	MIDDLE Initial(s)	LAST Name	
	Dean	C	Chang	
RESIDENCE AN CITIZENSHIP	D City	State or Foreign Country	Country of Cit	izenship
	Gaithersburg	Maryland	USA	
POST OFFICE ADDRESS	Number and Street	City	State or Country	Zip Code
	330 Alderwood Drive	Gaithersburg	Maryland	20878

Date

Date

FOURTH INVENTOR

Erik J. Shohian

FIFTH INVENTOR

Dean C. Chang

I further declare that all statements made herein of my own knowledge are true and that all statements

made upon information and belief are believed to be true; and further that these statements were made with the

4

FIRST INVENTOR Danny A. Grant	Date
SECOND INVENTOR Jeffrey Eid	4/25/66 Date
THIRD INVENTOR Shoichi Endo	Date
FOURTH INVENTOR Erik J. Shohian	Date
FIFTH INVENTOR Dean C. Chang	Date

FIRST INVENTOR Danny A. Grant	Date	
SECOND INVENTOR Jeffrey Eid	Date	
THIRD INVENTOR Shoichi Endo	#/25/2006 Date	
FOURTH INVENTOR Erik J. Shohian	Date	
FIFTH INVENTOR Dean C. Chang	Date	

FIRST INVENTOR Danny A. Grant	Date		
SECOND INVENTOR  Jeffrey Eid	Date		
THIRD INVENTOR Shoichi Endo	Date )		<u>, , , , , , , , , , , , , , , , , , , </u>
FOURTH INVENTOR Erik J. Shohier Shaho (a.)	Date	5-19-06	
FIFTH INVENTOR Dean C. Chang	Date		

FIRST INVENTOR Danny A. Grant	Date	
SECOND INVENTOR Jeffrey Eid	Date	
THIRD INVENTOR Shoichi Endo	Date	
FOURTH INVENTOR Erik J. Shohian	Date	
Da Ch	5/25/06	
FIFTH INVENTOR  Dean C. Chang	Date	



PTO/SB/96 (09-04)
Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)				
Applicant/Patent Owner: Immersion Corporation				
Application No./Patent No.: 10/538,164 Filed/Issue Date: June 8, 2005				
Entitled: Haptic Communication Devices				
Immersion Corporation, a Corporation				
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)				
states that it is:				
1. X the assignee of the entire right, title, and interest; or				
2. an assignee of less than the entire right, title, and interest				
The extent (by percentage) of its ownership interest is % in the patent application/patent identified above by virtue of either:				
A.  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was				
recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.				
OR				
B.   A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:				
1. From:				
The document was recorded in the United States Patent and Trademark Office at				
Reel, Frame, or for which a copy thereof is attached.				
2. From: To:				
The document was recorded in the United States Patent and Trademark Office at				
Reel, Frame, or for which a copy thereof is attached.				
3. From: To:				
The document was recorded in the United States Patent and Trademark Office at				
Reel, Frame, or for which a copy thereof is attached.				
Additional documents in the chain of title are listed on a supplemental sheet.				
Copies of assignments or other documents in the chain of title are attached.				
[NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]				
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.				
Jama A Rela 21 april 2006				
Signature Date				
Laura A. Peter 408,467.1900				
Printed or Typed Name Telephone Number				
Vice President and General				
Counsel Title				

This collection of Information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

### **ASSIGNMENT**

WHEREAS, we, Danny A. Grant, a citizen of Canada, residing at 5961 Rue de la Roche #4, Montreal, Quebec H2S 2C8, Canada, Jeffrey Eid, a citizen of the United States of America, residing at 105 Shadwell Drive, Danville, CA 94506, Shoichi Endo, a citizen of Japan, residing at 20268 Northwest Square, Cupertino, CA 95014, Erik J. Shohian, a citizen of the United States of America, residing at 3208 Shoholan.

El Suyo Drive, San Ramon, CA 94583 and Dean C. Chang, a citizen of the United States of America, residing at 330 Alderwood Drive, Gaithersburg, MD 20878, have invented an "Haptic Communication Devices" for which we have executed application papers for a U.S. patent thereon, which was filed on June 8, 2005, serial no. 10/538,164; and

WHEREAS, Immersion Corporation, a Delaware corporation, having a place of business located at 801 Fox Lane, San Jose, CA 95131, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, we, Danny A. Grant, Jeffrey Eid, Shoichi Endo, Erik J. Shohian and Dean C. Chang, do sell, assign, transfer and set over unto the said Immersion Corporation, its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Immersion Corporation, its successors and assigns, in accordance with this Assignment.

Re: U.S. Patent Application entitled: Haptic Communication Devices

Inventor: Danny A. Grant

WITNESS MY HAND at

| Continue |

Manuel (102 1111) Oliv

April /25/2006

april 25/2006

Re: U.S. Patent Application entitled: Haptic Communication Devices Inventor: Jeffrey Eid

WITNESS MY HAND a	t SAN	Jose, (	<u>}</u>	, this	
25th	day of APRI	(city, state	e) 2001	<u> </u>	
(date)		nonth)	()	year)	
Jeffrey Eid	30				
STATE OF CALIFORN	<b>IA</b>	) ) ss.			
COUNTY OF SCIPP	7 Marie				
On this 25	day of CINVI	/	, 2006	_, before me,	
MINNE B NO	a Notary Publ	ic, personally a	appeared Jeffre	ey Eid, personally	known to
me (or proved to me on subscribed to the within	ine basis of satisfactory instrument and acknow	revidence) to bare to	hat he/she/the	y executed the sar	ne in
his/her/their authorized of the entity upon behalf of	capacities, and that by h	nis/her/their sig	mature/s on the	e instrument the p	erson/e or
WITNESS my h	and and official seal.				
- S TAR CO	MARIE B. RAMOS  MMM. #1416737  y Public-California CO  A CLARA COUNTY		TIN WATER	RY PUBLIC	(J.T.J.J.)

Re: U.S. Patent Application entitled: Haptic Communication Devices Inventor: Shoichi Endo

ROSEMARIE B. RAMOS
COMM. #1416737
Notary Public-California
SANTA CLARA COUNTY
My Comm. Exp. May 9, 2007

WITNESS MY HAND at San Jose, CA, this, day of, day of, a (city, state), a (date) (month) (year)
Shoichi Endo
STATE OF CALIFORNIA ) SS. COUNTY OF March ) SS.
On this day of W, DOW, before me, ACOM, a Notary Public, personally appeared Shoichi Endo, personally know to me (or proved to me on the basis of satisfactory evidence) to be the person/s whose name is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in
his/her/their authorized capacities, and that by his/her/their signature/s on the instrument the person/s of the entity upon behalf of which the person/s acted, executed the instrument.  WITNESS my hand and official seal.

Re: U.S. Patent Application entitled: Haptic Communication Devices Inventor: Erik J. Shohian Shahoian

WITNESS MY HAND at	San Ramon,		La, this
10 10	-£	(city, state)	2006
19 day (date)	of <u>May</u> (mor	nth)	2006 (year)
	200	~	
Erik J. Shohian - Shaho, au			
STATE OF CALIFORNIA		) ) ss.	
COUNTY OF		_)	
On this	day of		, before me,
to me (or proved to me on the subscribed to the within instru	basis of satisfactory ment and acknowled ities, and that by his/	evidence) to be ged to me that her/their signat	peared Erik J. Shohian, personally known be the person/s whose name is/are t he/she/they executed the same in sture/s on the instrument the person/s or instrument.
WITNESS my hand a	nd official seal.		
		<del> </del>	NOTARY PUBLIC

Re: U.S. Patent Application entitled: Haptic Communication Devices

Inventor: Dean C. Chang